

**PROPOSALS TO CHANGE NASDAQ/ CBOE/NYSE RULES THAT ARE
INCORPORATED BY REFERENCE IN THE ISE RULES**

ISE RULE	RULE INCORPORATED BY REFERENCE	RULE FILINGS
General 3 Membership and Access <i>SEC Exemptive Order</i>	Nasdaq General 3 Membership and Access	SR-NASDAQ-2020-079 SR-NASDAQ-2020-076 SR-NASDAQ-2019-022
General 4 Registration Requirements <i>SEC Exemptive Order</i>	Nasdaq General 4 Section 1 Registration Requirements	SR-NASDAQ-2020-091 SR-NASDAQ-2020-073 SR-NASDAQ-2020-042
General 5 Discipline <i>SEC Exemptive Order</i>	Nasdaq General 5 Discipline	SR-NASDAQ-2020-076
General 6 Arbitration <i>SEC Exemptive Order</i>	Nasdaq General 6 Arbitration	SR-NASDAQ-2018-067
General 7 Consolidated Audit Trail Compliance <i>SEC Exemptive Order</i>	Nasdaq General 7 Consolidated Audit Trail Compliance	SR-NASDAQ-2020-099 SR-NASDAQ-2020-046 SR-NASDAQ-2020-035
Options 6C Section 3 Proper and Adequate Margin	CBOE 10.3 Margin Requirements	SR-CBOE-2019-069 SR-CBOE-2020-068 SR-CBOE-2020-090 SR-CBOE-2021-006
Options 6C Section 3 Proper and Adequate Margin	NYSE 431 Margin Requirements	